



## UNITED STATES PATENT AND TRADEMARK OFFICE

O I P E JC32  
JUN 24 2002  
UNITED STATES PATENT & TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/894,633	06/28/2001	Timothy W. Conner	38-21(15856)B

## CONFIRMATION NO. 9354

27161  
MONSANTO COMPANY  
800 N. LINDBERGH BLVD.  
ATTENTION: G.P. WUELLNER, IP PARALEGAL, (E2NA)  
ST. LOUIS, MO 63167

## FORMALITIES LETTER



\*OC00000006513480\*

Date Mailed: 09/05/2001

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE



copy of papers  
originally filed

Attorney Docket No. 38-21(15856)B

036

box seq

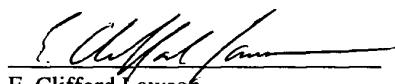
PATENT

EX

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited in the United States Postal Service as first class mail in an envelope addressed to Customer Service Center, Initial Patent Examination Division, BOX SEQUENCE, Assistant Commissioner for Patents, Washington, D.C. 20231 on November 13, 2001.

  
E. Clifford Lawson

In re application of: )  
Timothy W. Conner et al. )  
Serial No.: 09/894,633 ) Examiner: Unknown  
Filed: June 28, 2001 ) Group Art Unit: 1638  
For: PLANT REGULATORY SEQUENCES FOR )  
CONTROL OF GENE EXPRESSION )

Customer Service Center  
Initial Patent Examination Division  
Assistant Commissioner for Patents  
Washington, D.C. 20231

BOX SEQUENCE

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Sir:

In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Filed Under 37 CFR 1.821-1.825 mailed September 5, 2001, transmitted herewith are the following documents in the above-identified application.

X Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures.

Attorney Docket No. 38-21(15856)B

X Diskette containing Sequence Listing in computer readable form. I hereby state that the content of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 CFR §1.821, respectively, are the same.

X A one-month extension of time.

Respectfully submitted,

  
E. Clifford Lawson  
Reg. No. 46,860  
Monsanto Company  
800 North Lindbergh Boulevard  
St. Louis, Missouri 63167  
(636) 737-6826